



DOCKET NO. RFMI01-00214
Customer No. 23990

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Darrell Lee Ash
U.S. Serial No. : 09/801,452
Filed : March 8, 2001
For : NOISE RESISTANT LOW PHASE NOISE, FREQUENCY TRACKING OSCILLATORS AND METHODS OF OPERATING THE SAME
Group No. : 2817
Examiner : A.M. Kinkead

MAIL STOP RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. Certain of these references were located during the European Search Reports issued in the related European Patent Application Nos. EP 02 25 1661 and

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PATENT

EP 02 25 1662, copies of which are attached hereto. Copies of the listed references are submitted herewith.

U.S. Patents

<u>U.S. PATENT NO.</u>	<u>INVENTOR</u>	<u>DATE</u>
4,581,592	Bennett	04/08/1986

Foreign Patents

<u>PATENT NO.</u>	<u>COUNTRY</u>	<u>DATE</u>
EP 0 386 498	EPO	09/12/1990
GB 2 047 491	United Kingdom	11/26/1980
DE 196 34 622	Germany	03/12/1998

Publications

SCHMITT, R.F., et al: "DESIGNING AN EMC-COMPLIANT UHF OSCILLATOR," RF Design Cardiff Publishing Co., Englewood, CO, U.S., Vol. 23, NR. 10, Pages 40, 42, 44, 46, 48, 50, 52, 54, XP001025221, ISSN: 0163-321X

"APPLICATIONS DES RESONATEURS A ONDES DE SURFACE;" Electronique Radio Plans, SPE, Paris, France, 564; XP000477719; pages 87-95

PARKER, T. E., et al: "PRECISION SURFACE-ACOUSTIC-WAVE (SAW) OSCILLATORS", IEEE Transactions on Ultrasonics, Ferroelectrics and Frequency Control, IEEE Inc., New York, U.S., Vol. 35, NR. 3, Pages 342-363, XP000047422, ISSN: 0885-3010

ROHDE, U.L.: "DESIGNING SAW RESONATORS AND DRO OSCILLATORS USING NONLINEAR CAD TOOLS", Frequency Control Symposium, 1995; 49th, Proceedings of the 1995 IEEE International San Francisco, CA, U.S.A. 31 US, Pages 379-396, XP010155195, ISBN: 0-7803-2500-1

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WESSENDORF, K, et al: "OSCILLATOR DESIGN TECHNIQUES ALLOW HIGH-FREQUENCY APPLICATIONS", RF Design, Cardiff Publishing Co., Englewood, CO, U.S. Vol. 21, NR. 3, Pages 38, 40, 42, 44, XP000755034, ISSN: 0163-321X

GONDA, J., et al.: "A WIDE PULL RANGE HYBRID VCSO FOR OPTICAL TRANSMISSION NETWORKS," Pages 59-63, XP010090597

DRISCOLL, M.M.: "LINEAR FREQUENCY TUNING OF SAW RESONATORS," Pages 191-194, XP010090619

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

In accordance with 37 C.F.R. § 1.97 (e), the undersigned certifies that no item of information cited in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

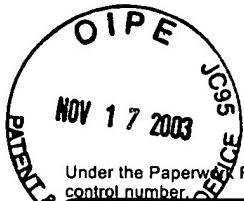
Respectfully submitted,

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	2	Application Number	09/801,452
				Filing Date	March 8, 2001
				First Named Inventor	Darrell Lee Ash
				Art Unit	2817
				Examiner Name	A.M. Kinkead
				Attorney Docket Number	RFMI01-00214

U.S. PATENT DOCUMENTS

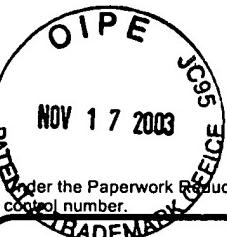
FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Compl te if Known

Application Number	09/801,452
Filing Date	March 8, 2001
First Named Inventor	Darrell Lee Ash
Group Art Unit	2817
Examiner Name	A.M. Kinkead
Attorney Docket Number	RFM101-00214

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	BA	SCHMITT, R.F., et al: "DESIGNING AN EMC-COMPLIANT UHF OSCILLATOR," RF Design Cardiff Publishing Co., Englewood, CO, U.S., Vol. 23, NR. 10, Pages 40, 42, 44, 46, 48, 50, 52, 54, XP001025221, ISSN: 0163-321X
	BB	"APPLICATIONS DES RESONATEURS A ONDES DE SURFACE;" Electronique Radio Plans, SPE, Paris, France, 564; XP00477719; Pages 87-95
	BC	PARKER, T.E., et al: "PRECISION SURFACE-ACOUSTIC-WAVE (SAW) OSCILLATORS," IEEE Transactions on Ultrasonics, Ferroelectrics and Frequency Control, IEEE Inc., New York, U.S., Vol. 35, NR. 3, Pages 342-363, XP000047422, ISSN: 0885-3010
	BD	ROHDE, U.L.: "DESIGNING SAW RESONATORS AND DRO OSCILLATORS USING NONLINEAR CAD TOOL," Frequency Control Symposium, 1995; 49th Proceedings of the 1995 IEEE International San Francisco, CA, U.S.A. 31 US. Pages 379-395: XP010155195: ISBN: 0-7803-2500-1
	BE	WESSENDORF, K, et al: "OSCILLATOR DESIGN TECHNIQUES ALLOW HIGH-FREQUENCY APPLICATIONS," RF Design, Cardiff Publishing Co., Englewood, CO, U.S., Vol 21, NR. 3, Pages 38, 40, 42, 44. XP000755034. ISSN: 0163-321X
	BF	GONDA, J., et al: "A WIDE PULL RANGE HYBRID VCSO FOR OPTICAL TRANSMISSION NETWORKS," Pages 59-63, XP010090597
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Examiner Signature	Date Considered
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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